

CHAPTER 6. CONDUCT A COMMERCIAL PILOT CERTIFICATION INCLUDING ADDITIONAL CATEGORY/CLASS RATINGS

SECTION 1. BACKGROUND

1. PROGRAM TRACKING AND REPORTING SUBSYSTEM (PTRS) ACTIVITY CODES.

- Original certification: 1504
- Additional category, class, or instrument rating: 1505

3. OBJECTIVE. The objective of this task is to determine if the applicant meets the requirements for certification as a commercial pilot under Federal Aviation Regulations (FAR) Part 61, Subpart E. Successful completion of this task results in the issuance of a Temporary Airman Certificate - Commercial Pilot, a Notice of Disapproval, or a Letter of Discontinuance.

5. GENERAL.

A. Airworthiness Coordination. The inspector conducting the practical test, or an airworthiness inspector, should review the aircraft maintenance records, aircraft logbook, airworthiness certificate, and aircraft registration to determine if the aircraft is airworthy and suitable for the practical test. After review, return the documents to the applicant.

B. Pilot-in-Command (PIC) Duties. FAR § 61.139 allows a commercial pilot to act as PIC of an aircraft for compensation or hire. During the practical test, inspectors should determine whether the applicant is aware of the types of flight operations and other regulatory requirements found in FAR § Parts 133, 135, and 137 that affect these privileges.

C. Medical Certificates. With the exception of glider and free balloon pilots whose medical statement is signed on Federal Aviation Administration (FAA) Form 8710-1, Airman Certificate and/or Rating Application, the applicant must have a current second class medical certificate to be eligible for original issuance of a commercial pilot certificate.

D. Concurrent Private and Commercial Testing. A private pilot certificate with an airplane category rating is a requirement for a commercial pilot certificate with an airplane rating. If the applicant does not

hold a private pilot airplane certificate, the applicant must meet all of the prerequisites for a private pilot certificate, including the private pilot knowledge test and satisfactory completion of private pilot practical test requirements. The applicant may, at the inspector's or examiner's discretion, take the private and commercial practical tests concurrently during a single flight; however, the applicant must satisfactorily accomplish testing on all private pilot requirements before the inspector or examiner may begin testing on the commercial requirements. The process requires the completion of two certification files and two Temporary Airman Certificates (or a Notice of Disapproval of Application, as applicable).

7. ESTABLISHING ELIGIBILITY.

A. Graduate of an Approved School. Under the provisions of FAR § 61.71(a), an applicant that presents an appropriate graduation certificate within 60 days after the date of graduation from an approved pilot school, certificated under FAR Part 141, is considered to meet the AERONAUTICAL EXPERIENCE requirements of FAR §§ 61.129, 61.131, 61.133, 61.135, or 61.137, as applicable. However, the applicant is NOT considered to have met the AERONAUTICAL KNOWLEDGE and FLIGHT PROFICIENCY requirements of FAR §§ 61.125 and 61.127. The applicant must present evidence of compliance with these requirements.

B. Graduate of an Approved School With Examining Authority. Under the provisions of FAR § 61.71(b), an applicant who graduated from an approved pilot school WITH EXAMINING AUTHORITY is considered to meet the applicable knowledge and skill requirements for a commercial pilot certificate if the applicant applies within 90 days of graduation and the school is authorized to test applicants on aeronautical knowledge and skill.

C. Not a Graduate of an Approved School. If the applicant is not a graduate of an approved pilot school, or has not applied for a commercial pilot cer-

tificate within the times specified for approved school graduates, the applicant must meet all applicable aeronautical knowledge, aeronautical experience, and flight proficiency requirements of FAR Part 61, Subpart E.

9. LIMITATIONS. Because of specific operating conditions, commercial pilot certificates may have certain operating limitations. The airman must observe any operating limitation until the limitation is removed from the certificate. Any limitations on the private pilot certificate that were not removed before the commercial certificate was issued must be placed on the commercial certificate, unless the applicant demonstrates or presents evidence that the limitations no longer apply.

11. CATEGORIES AND CLASSES. With the exception of commercial glider certificates, a class rating must also be placed on commercial pilot certificates.

A. Airplane Class Ratings. The following class ratings are originally issued or added to commercial pilot airplane certificates.

- (1) SINGLE-ENGINE LAND
- (2) MULTIENGINE LAND
- (3) SINGLE-ENGINE SEA
- (4) MULTIENGINE SEA

B. Rotorcraft Class Ratings. The following class ratings are originally issued or added to commercial pilot rotorcraft certificates.

- (1) HELICOPTER
- (2) GYROPLANE

C. Lighter-Than-Air Class Ratings

(1) The following class ratings are originally issued or added to commercial pilot lighter-than-air certificates.

- (a) AIRSHIP
- (b) FREE BALLOON

(2) A commercial pilot with a lighter-than-air category rating may instruct in free balloons or airships, as appropriate. The commercial practical test standards (PTS) for Commercial Lighter-Than-Air, Free Balloon (Airborne Heater) contains areas of operations that specifically test flight instructor responsibilities.

(3) At their discretion, inspectors are authorized to omit Area of Operation I, Fundamentals of Instructing, when conducting practical tests for those airmen who hold a current flight instructor certificate and who are applying for a commercial pilot certificate with a lighter-than-air category and an airship, free balloon (airborne heater) or free balloon (gas) rating. In addition, inspectors are authorized to use applicable portions of the Free Balloon (Airborne Heater) PTS when conducting initial practical tests for free balloon (gas) certification.

SECTION 2. PROCEDURES

1. PREREQUISITES AND COORDINATION REQUIREMENTS

A. Prerequisites. This task requires knowledge of the regulatory requirements of FAR Part 61 and FAA policies, and qualification as an aviation safety inspector (ASI) (operations).

B. Coordination. This task requires coordination with the airworthiness unit and with the airman records section of the Airmen Certification Branch, AFS-760.

3. REFERENCES, FORMS, AND JOB AIDS

A. References

- FAR Parts 1, 61, 91, 141, and 187 (Appendix A, Fees)
- FAA-S-8081-2, Commercial Pilot Practical Test Standards, and the references listed within
- PTRS Procedures Manual (PPM)

B. Forms

- FAA Form 8000-36, Program Tracking and Reporting Subsystem Data Sheet
- FAA Form 8060-4, Temporary Airman Certificate (figure 6-3)
- FAA Form 8060-5, Notice of Disapproval of Application (figure 6-1)
- FAA Form 8710-1 (figure 6-4)

C. Job Aids

- Sample letters and figures

5. PROCEDURES

A. Schedule Appointment. Advise the applicant to bring the following documents to the appointment:

- (1) a properly completed FAA Form 8710-1;
- (2) a private pilot certificate (if for original commercial pilot certification airplane category only);
- (3) a student certificate (required for categories other than airplane);
- (4) a commercial pilot certificate (if for an additional category or class rating);
- (5) FAA Form 8500-9, Airman Medical Certificate and Statement of Demonstrated Ability (SODA), if applicable;

(6) a knowledge test report (if applicable);

(7) a school graduation certificate (if required by FAR § 61.71);

(8) personal logbooks or other records substantiating the flight experience shown on the application form;

(9) the aircraft maintenance records;

(10) the aircraft airworthiness certificate;

(11) the aircraft registration;

(12) an acceptable form of photo identification; and

(13) the Federal Communications Commission (FCC) aircraft station license, if applicable.

B. Applicant Arrives for Appointment

- (1) Collect the documents listed above.
- (2) Open PTRS file.

C. Review Application

(1) In Section I, ensure that the applicant has checked "Commercial." If the applicant is seeking a rating (other than an instrument rating), ensure that he or she has checked "Additional Aircraft Rating."

(2) Check the application for accuracy, using the instructions attached to FAA Form 8710-1 and guidance found in volume 2, chapter 1, section 4 of this handbook.

(3) Ensure that the flight instructor has signed the endorsement block no more than 60 days before the application was submitted.

D. Verify Applicant's Identity. Inspect acceptable forms of identification to establish the applicant's identity. Compare the identification with the personal information provided on FAA Form 8710-1. (See volume 2, chapter 1, section 4, paragraph 5 of this handbook.)

(1) If the applicant's identity can be verified, proceed with the task.

(2) If the applicant's identity cannot be verified because of lack of identification or inadequate identification, explain what types of identification are acceptable. Advise the applicant to return with appropriate identification to reapply.

(3) If the applicant's identity appears to be different from the information supplied on FAA Form 8710-1, or it appears that an attempt at falsification has been made, do not continue with this task. (See volume 2, chapter 182 of this handbook.)

E. Airmen Requirements for Original Issuance. Determine if the applicant meets the specific eligibility, knowledge, competency, and experience requirements for certification as a commercial pilot (FAR §§ 61.123, 61.125, 61.127, 61.129, 61.131, 61.133, 61.135, and 61.137). The following list expands on the basic requirements.

(1) If the applicant proposes to operate powered aircraft, ensure that the medical certificate (FAA Form 8420-2) is current. If the applicant proposes to operate only gliders or balloons, have the applicant complete the medical self-certification portion (Blocks W and X) of FAA Form 8710-1.

(2) Inspect the applicant's medical certificate and SODA, if applicable, to ensure that it does not bear any limitation that would make a special medical flight test necessary. If a special medical flight test is necessary, see volume 2, chapter 27 of this handbook.

(3) Have the applicant present proof of aeronautical knowledge and flight proficiency in accordance with FAR §§ 61.125 and 61.127. Use an acceptable logbook or other reliable record that conforms to FAR § 61.51 requirements.

(4) Check the record of flight time in Section III of the application to determine if the applicant has the minimum aeronautical experience required for the certificate and ratings sought under FAR Part 61 or 141.

(5) Check FAA Form 8710-1 to determine if the applicant has failed the commercial pilot practical test within the past 30 days (first failure only). If so, check FAA Form 8710-1 for the required certified flight instructor's signature verifying that the applicant has received the necessary additional instruction as a result of the failure.

(6) Request and examine any one of the following documents as acceptable evidence of having passed the knowledge test:

(a) an Airman Knowledge Test Report;

(b) a test report from an approved computerized knowledge test facility; or

(c) a test report from an approved school with knowledge test examining authority.

(7) If the applicant for an airplane category rating does not hold a private pilot certificate, ensure that the applicant has passed the private pilot knowledge test.

(a) A private pilot certificate with an airplane category rating is a requirement for a commercial pilot certificate with an airplane rating. See section 1, paragraph 5D of this chapter for an explanation of the requirements pertaining to concurrent testing. If the applicant wishes to take the tests for both the private pilot and the commercial pilot certificates and the inspector or examiner concurs, request the applicant to present two separate applications and instructor endorsements, one for the private test and one for the commercial test. The process requires the completion of two certification files and two Temporary Airman Certificates (or a Notice of Disapproval of Application, as applicable).

(b) If the applicant holds an unrestricted private pilot or higher grade certificate with an airplane rating from an International Civil Aviation Organization (ICAO) member state, the applicant meets the requirements of FAR § 61.129(a) without taking the private pilot knowledge and practical tests.

(8) Ensure that second-in-command (SIC) pilot time was acquired in an aircraft with a type certification that requires an SIC or in an aircraft operated in compliance with an FAR that requires an SIC.

F. Airmen Requirements for Additional Category and Class Rating. These requirements are the same as in paragraph 5E of this section; however, the knowledge test is not required if the applicant already has a powered aircraft rating. The applicant must present at least a third-class medical certificate for an additional category/class rating in a powered aircraft.

G. Aircraft Requirements. The inspector conducting the practical test, or an airworthiness inspector, should review the applicant's aircraft maintenance records, aircraft logbooks, airworthiness certificate, and aircraft registration to determine if the aircraft is airworthy and suitable for a practical test. After review, return the documents to the applicant.

H. Discrepancies. If a discrepancy that cannot be immediately corrected exists in any of the documents, return the application and all submitted documents to the applicant. Inform the applicant of the reasons for ineligibility, and explain how the applicant may correct the discrepancies.

I. Conduct Practical Test. After determining the applicant is eligible and meets all prerequisites for

the commercial pilot certificate, category or class rating, conduct the practical test.

(1) Use the procedures and maneuvers outlined in FAA-S-8081-2 for the category and class of aircraft for which a certificate or rating is sought. An applicant who is retesting may be given credit for those areas of operation successfully completed on the previous practical test, provided the previous test was conducted within 60 days before the retest. If the previous test was conducted more than 60 days before the retest, the inspector must test the applicant in all areas.

(2) If the practical test is not completed for reasons other than proficiency, issue the applicant a Letter of Discontinuance (figure 6-2). Return the application and all submitted documents to the applicant. Close PTRS.

J. Unsatisfactory Performance. If an applicant's practical test performance is not satisfactory, terminate the practical test and inform the applicant of the reasons.

(1) Give credit for areas of operation that were satisfactorily completed.

(2) Prepare in duplicate FAA Form 8060-5 (figure 6-1) as shown in volume 2, chapter 1, section 6 of this handbook. In addition to the instructions provided in volume 2, chapter 1, section 6, perform the following.

(a) If specific areas of operations need to be repeated, record them on FAA Form 8060-5.

(b) Indicate the number of practical test failures on FAA Form 8060-5.

(3) Forward the certification file to the Airmen Certification Branch, AFS-760.

(4) Return all submitted documents not forwarded to AFS-760 to the applicant.

K. Satisfactory Performance. Issue FAA Form 8060-4 when the applicant has met all requirements for a commercial pilot certificate.

(1) Prepare FAA Form 8060-4 (figure 6-3) in duplicate as in volume 2, chapter 1, section 5 of this handbook.

(2) Enter the following operating limitations (when appropriate) in the space provided on the Temporary Airman Certificate.

(a) Enter "NOT VALID FOR FLIGHTS REQUIRING THE USE OF ENGLISH" if the airman does not read, speak, and understand the English language.

(b) Enter "AERO TOWS ONLY" if the glider rating is issued on the basis of a flight test using aero tows only.

(c) Enter "GROUND TOWS ONLY" if the glider rating is issued on the basis of a flight test using ground tows only (auto, auto pulley, or winch).

(d) Enter "SELF LAUNCH ONLY" if the glider rating is issued on the basis of a flight test using self launch only.

(e) Enter "NOT VALID FOR FLIGHTS REQUIRING THE USE OF RADIO" if the airman is hearing-impaired and/or speech-impaired.

(f) Enter "NOT VALID FOR CARRIAGE OF PERSONS FOR HIRE IN AIRPLANES ON CROSS-COUNTRY FLIGHTS OF MORE THAN 50 NAUTICAL MILES OR AT NIGHT" if the airman has no instrument rating.

(3) If an instrument rating is added to a certificate using a single-engine airplane, and the applicant has a multi-engine rating (land or sea), enter a visual flight rules (VFR) limitation for those multiengine privileges.

(4) If the pilot certificate is issued in a foreign country, see FAR 61.13(a) and FAR Part 187, Appendix A.

(5) Complete the inspector certification section and sign the reverse side of FAA Form 8710-1.

(6) Forward the completed file to AFS-760.

(7) Return all submitted documents not forwarded to AFS-760 to the applicant.

L. Program Tracking and Reporting Subsystem. Complete FAA Form 8000-36 in accordance with the PPM.

7. TASK OUTCOMES. Completion of this task results in the issuance of one of the following:

- A. Temporary Airman Certificate - Commercial Pilot
- B. Notice of Disapproval
- C. Letter of Discontinuance

9. FUTURE ACTIVITIES

A. Applicant may return for an added category, class, or type rating.

B. Applicant may return for an upgraded certificate.

FIGURE 6-1
FAA FORM 8060-5, COMMERCIAL PILOT

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION—FEDERAL AVIATION ADMINISTRATION		NOTE PRESENT THIS FORM UPON APPLICATION FOR REEXAMINATION	
NOTICE OF DISAPPROVAL OF APPLICATION			
NAME AND ADDRESS OF APPLICANT MARK THOMAS BROWN 1212 ELMWOOD DRIVE BRISTLEWOOD, MD 23010		CERTIFICATE OR RATING SOUGHT COMMERCIAL PILOT HELICOPTER	
On the date shown, you failed the examination indicated below:			
<input type="checkbox"/> FLIGHT		<input type="checkbox"/> ORAL	
		<input checked="" type="checkbox"/> PRACTICAL	
AIRCRAFT USED (Make and Model)		FLT. TIME RECORDED IN LOGBOOK	
BELL 47		PILOT-IN-COMM. OR SOLO	INSTRUMENT
		750	85
			DUAL
			150
UPON REAPPLICATION YOU WILL BE REEXAMINED ON THE FOLLOWING:			
VII. EMERGENCY OPERATIONS			
TASK B, POWER FAILURE AT ALTITUDE			
TASK D, SYSTEMS AND EQUIPMENT MALFUNCTIONS			
(FIRST FAILURE)			
I have personally tested this applicant and deem his performance unsatisfactory for the issuance of the certificate or rating sought.			
DATE OF EXAMINATION	SIGNATURE OF EXAMINER OR INSPECTOR		DESIGNATION OR OFFICE NO.
08-14-95	<i>John C. Lightower</i>		ANM FSDO 03
FAA Form 8060-5 (5-80)			

FIGURE 6-2
LETTER OF DISCONTINUANCE

FAA Letterhead
[date]

[applicant's name and address]

Dear [applicant's name]:

On this date you successfully completed the oral portion of the practical test for a [indicate grade] certificate with an [indicate category] category and [indicate class] class rating. The practical test was discontinued because of [indicate reason].

If application is made by [indicate a date 60 days from date of letter], this letter may be used to show the following portions of the practical test which have been completed satisfactorily.

- Indicate pilot operations completed on the test

After [indicate expiration date] you must repeat the entire practical test.

This letter does not extend the expiration date as shown on the knowledge test results, medical certificate, or required endorsements.

Sincerely,

[signed by the inspector conducting the practical test]

FIGURE 6-3
FAA FORM 8060-4, COMMERCIAL PILOT

I. UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION		III. CERTIFICATE NO. 123984567	
ii. TEMPORARY AIRMAN CERTIFICATE			
THIS CERTIFIES THAT		IV. MARK THOMAS BROWN V. 1212 ELMWOOD DRIVE BRISTLEWOOD, MD 23010	
DATE OF BIRTH	HEIGHT	WEIGHT	HAIR
05-05-69	72 IN.	165	BROWN
EYES		SEX	NATIONALITY
BLUE		M	USA
IX. has been found to be properly qualified and is hereby authorized in accordance with the conditions of issuance on the reverse of this certificate to exercise the privileges of COMMERCIAL PILOT			
RATINGS AND LIMITATIONS			
xii. AIRPLANE SINGLE ENGINE LAND ROTORCRAFT - HELICOPTER INSTRUMENT AIRPLANE			
XIII.			
THIS IS <input type="checkbox"/> AN ORIGINAL ISSUANCE <input checked="" type="checkbox"/> A REISSUANCE OF THIS GRADE OF CERTIFICATE		DATE OF SUPERSEDED AIRMAN CERTIFICATE 07-24-91	
BY DIRECTION OF THE ADMINISTRATOR		EXAMINER'S DESIGNATION NO. OR INSPECTOR'S REG. NO.	
x. DATE OF ISSUANCE 08-14-95	x. SIGNATURE OF EXAMINER OR INSPECTOR <i>John I. Hightower</i>	ANM FSDO 03	
		DATE DESIGNATION EXPIRES --	

FAA Form 8060-4 (8-79) USE PREVIOUS EDITION

FIGURE 6-4
FAA FORM 8710-1 (REVERSE SIDE)

Instructor's Recommendation				
I have personally instructed the applicant and consider this person ready to take the test.				
Date 09-27-95	Instructor's Signature <i>John T. Smith</i>	Certificate No. 2125748CFI	Certificate Expires 12/96	
Air Agency's Recommendation				
The applicant has successfully completed our _____ course, and is recommended for certification or rating without further _____ test.				
Date	Agency Name and Number	Official's Signature		
		Title		
Designated Examiner's Report				
<input type="checkbox"/> Student Pilot Certificate Issued (Copy attached) <input type="checkbox"/> I have personally reviewed this applicant's pilot logbook, and certify that the individual meets the pertinent requirements of FAR 61 for the pilot certificate or rating sought. <input type="checkbox"/> I have personally reviewed this applicant's graduation certificate, and found it to be appropriate and in order, and have returned the certificate. <input type="checkbox"/> I have personally tested and/or verified this applicant in accordance with pertinent procedures and standards with the result indicated below. <input type="checkbox"/> Approved—Temporary Certificate Issued (Copy Attached) <input type="checkbox"/> Disapproved—Disapproval Notice Issued (Copy Attached)				
Location of Test (Facility, City, State)		Duration of Test		
		Ground	Simulator	Flight
Certificate or Rating for Which Tested		Type(s) of Aircraft Used	Registration No.(s)	
Date	Examiner's Signature	Certificate No.	Designation No.	Designation Expires
Evaluator's Record For Airline Transport Certificate/Rating Only				
	Inspector	Examiner	Signature	Date
Oral	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Approved Simulator/Training Device Check	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Aircraft Flight Check	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Advanced Qualification Program	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
Inspector's Report				
I have personally tested this applicant in accordance with or have otherwise verified that this applicant complies with pertinent procedures, standards, policies, and or necessary requirements with the result indicated below.				
<input checked="" type="checkbox"/> Approved—Temporary Certificate Issued <input type="checkbox"/> Disapproved—Disapproval Notice Issued				
Location of Test (Facility, City, State)		Duration of Test		
BOEING FIELD, SEATTLE, WA		Ground 2.0	Simulator --	Flight 2.0
Certificate or Rating for Which Tested COMMERCIAL PILOT ASEL		Type(s) of Aircraft Used C-182RG	Registration No.(s) N6978X	
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <input type="checkbox"/> Student Pilot Certificate issued <input type="checkbox"/> Examiner's Recommendation <input type="checkbox"/> ACCEPTED <input type="checkbox"/> REJECTED <input type="checkbox"/> Reissue or Exchange of Pilot Certificate <input type="checkbox"/> Special medical test conducted—report forwarded to Aeromedical Certification Branch, AAM-130 </div> <div style="width: 30%;"> <input type="checkbox"/> Certificate or Rating Based on <input type="checkbox"/> Military Competence <input type="checkbox"/> Foreign License <input type="checkbox"/> Approved Course Graduate <input type="checkbox"/> Other Approved FAA Qualification Criteria <input checked="" type="checkbox"/> Certificate Issued <input type="checkbox"/> Certificate Denied </div> <div style="width: 30%;"> <input type="checkbox"/> Instructor <input type="checkbox"/> Flight <input type="checkbox"/> Ground <input type="checkbox"/> Renewal <input type="checkbox"/> Approved <input type="checkbox"/> Reinstatement <input type="checkbox"/> Disapproved Instructor Renewal Based on <input type="checkbox"/> Activity <input type="checkbox"/> Training Course <input type="checkbox"/> Acquaintance <input type="checkbox"/> Test </div> </div>				
Training Course (FIRC) Name		Graduation Certificate No.		Date
Date 09-27-95	Inspector's Signature <i>Thomas Hightower</i>			FAA District Office ANM FSDO 01
Attachments:				
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <input type="checkbox"/> Student Pilot Certificate (copy) <input checked="" type="checkbox"/> Report of Written Examination <input checked="" type="checkbox"/> Temporary Pilot Certificate (copy) </div> <div style="width: 30%;"> <input checked="" type="checkbox"/> Airmans Identification (ID) WASHINGTON DRIVER'S LICENSE Form ID 543914701 Number 10/31/96 Expiration Date </div> <div style="width: 30%;"> <input type="checkbox"/> Notice of Disapproval <input checked="" type="checkbox"/> Superseded Pilot Certificate <input type="checkbox"/> Answer Sheet Graded <input type="checkbox"/> Answer Sheet Graded (Foreign Instrument) </div> </div>				

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